



FRAUD ALERTS: See our latest fraud alerts to protect yourself.

[CLICK HERE FOR MORE INFORMATION](#)

⚠ Malicious actors may attempt to impersonate SIPC employees in fraudulent e-mails. If an e-mail is suspicious, please contact SIPC to confirm its authenticity. Malicious actors also may pose as SIPC through false or fraudulent websites that mimic SIPC's web site. Beware of any websites using similar domain names to SIPC's or that resemble SIPC's website except for certain contact information. SIPC's official website appears exclusively at www.sipc.org. For any questions or concerns, contact SIPC at 202-371-8300 or by manually addressing an email to asksipc@sipc.org.

FOR INVESTORS

FOR MEMBER FIRMS

CASES & CLAIMS

SIPC has issued Investor Bulletins explaining SIPC's protection and claims process. Click here for Part I ("SIPC Basics"). Click here for Part II ("Filing a SIPC Claim").

[A Brief Introduction](#)

[Watch The Video](#)

If you have trouble viewing the video, please [click here to view it on YouTube](#) or [click here to view it on Facebook](#).

We are a non-profit corporation that has been protecting investors for over 50 years. SIPC has recovered billions of dollars for investors.



Created by Congress in 1970, SIPC celebrated 50 years of protecting investors in 2020.

[Read the Special Anniversary Report here](#)

[Watch the Anniversary Video](#)





For Member Firms

SIPC member brokerage firms can find information here about filing requirements, the SIPC assessment, and the SIPC logo.

Member Information

Guidelines and tools for SIPC member brokerage firms.



Assessment Rate



Member FAQs



SIPC Logo Rules



Order Brochures, Signs, and Decals



Fraud Alerts

See our latest fraud alerts to protect yourself.



Portal Information

Information about the SIPC Broker-Dealer Portal.



Portal Webinar

Watch a webinar about the SIPC Broker-Dealer Portal.

Member Filing Requirements

Questions about filing requirements? Call the membership department at (202) 371-8300 or email form@sipc.org.

How To File Your Assessment Forms (SIPC-6/SIPC-7)

How to File Your Annual Report

How To File Your Agreed-Upon Procedures (AUP) Report

How To File Your Form SIPC-3

Portal Information

Information about the SIPC broker-dealer portal.

Portal Introduction

Portal FAQs

Portal Administrator Delegation

How To File Portal Forms

SIPC Broker-Dealer Portal

Pay Your Assessment



Broker-Dealer Portal

Enter your email to sign in

By signing in you agree to our [terms of service](#) and [privacy policy](#).

Contact Us

Email: portal@sipc.org

Phone: (202) 371-8300

Mail:

Securities Investor Protection Corporation

1667 K St. N.W., Suite 1000

Washington, D.C. 20006-1620

SUBMIT >



Broker-Dealer Portal

WE'RE SORRY, SOMETHING WENT WRONG

Enter your email to sign in

By signing in you agree to our [terms of service](#) and [privacy policy](#).

Contact Us

Email: portal@sipc.org

Phone: (202) 371-8300

Mail:

Securities Investor Protection Corporation

1667 K St. N.W., Suite 1000

Washington, D.C. 20006-1620

SUBMIT >



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Phone: (202) 371-8300

Mail:

Securities Investor Protection Corporation

1667 K St. N.W., Suite 1000

Washington, D.C. 20006-1620

SUBMIT >



An email with the code has been sent to
appvance4user4@gmail.com.

A text input field with a light grey border. On the left side of the field is a small icon of a cube. On the right side is a small icon of three dots inside a square, indicating a password strength or visibility toggle.

Did not get the code?

SUBMIT >

Broker-Dealer Portal Authentication Code Inbox x



SIPC Broker-Dealer Portal <noreply@sipc.org>
to me ▾



Your Broker-Dealer Portal verification code is: **292976**

If you are having any issues with your account, please contact us.

If you did not make this request, please contact us.

Contact us

portal@sipc.org

[\(202\) 371-8300](tel:(202)371-8300)

Securities Investor Protection Corporation
1667 K St. N.W., Suite 1000
Washington, D.C. 20006-1620

↩ Reply ➡ Forward 😊



Broker-Dealer Portal

An email with the code has been sent to
appvance4user4@gmail.com.



292976



Did not get the code?

SUBMIT >

Accept SIPC's Privacy Policy and Terms of Service To Continue

Please read and accept our Terms of Service and Privacy Policy below.

Privacy Policy

The Securities Investor Protection Corporation (SIPC) has created this Privacy Policy in order to demonstrate and communicate its commitment to the privacy of the users of SIPC's website, and to provide users with information regarding the collection and use of data.

Collection and Dissemination of Personal Information

SIPC uses third party web analytics to gather site usage information for the purpose of improving user experience. As part of this web analytics activity, SIPC places cookies on users' computers. Additionally, for specific sites or applications, SIPC may use web analytics to confirm compliance with the terms of use of those sites or applications. SIPC and its vendors may also place cookies on users' computers to enable certain site features such as SIPC's broker-dealer portal. Users who opt out of cookies by changing the setting of their browsers will not be able to take advantage of these and other site features.

SIPC's website may receive and maintain information such as user name, email address, telephone number and other personal and/or financial information only when such information is submitted by users through an optional request form such as the "askSIPC" form, or the SIPC broker-dealer portal. The forms, and the information provided within the forms, allow users to make specific inquiries or requests of SIPC, or provide SIPC with required information necessary to process SIPC assessments. Except as specifically described below, information gathered via this site is not shared with third parties unless the involved person requests such sharing or unless disclosure is required by law or regulation. SIPC does not sell personal information to third parties for commercial purposes.

SIPC reserves the right to disclose user information in special circumstances, where SIPC believes that the disclosure of such information is necessary to identify or contact someone who may be interfering with or violating the rights or property of SIPC or other users or to protect or enforce SIPC's rights or obligations.

Third Party Links

SIPC websites may contain links to other sites, some of which are not owned by SIPC. SIPC is not responsible for the security or privacy practices of non-SIPC sites, the products or services offered by such sites, or the content appearing at such sites. Likewise, SIPC does not endorse any of the products or services marketed at non-SIPC sites.

SIPC prohibits the framing of any materials available through the SIPC websites and unauthorized hypertext links to SIPC's websites. SIPC reserves the right to disconnect or otherwise disable any unauthorized frames or hypertext links.

Privacy Policy

[Collection and Dissemination of Personal Information](#)

[Third Party Links](#)

[Regulatory Policy](#)

[Information Security](#)

[Social Media](#)

[Policy Changes](#)

Website Terms of Service

[Third Party Websites and Content](#)

[Access Rights](#)

[Right to Modify](#)

[Disclaimer of Warranties](#)

[Limitations on Liability](#)

[Indemnification](#)

[Agreement to Service](#)

OTHERWISE, EVEN IF WE OR THEY HAVE BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. NOTWITHSTANDING ANYTHING CONTRARY CONTAINED HEREIN, SIPC'S LIABILITY TO YOU ARISING FROM THESE TERMS, THE USE OF OR INABILITY TO USE THE SITE OR THE SERVICES, WILL AT ALL TIMES BE LIMITED TO \$100.00.

YOU RELEASE SIPC AND HOLD IT AND ITS THIRD PARTY TECHNOLOGY PLATFORM PROVIDERS, SUPPLIERS, AND PROVIDERS, AND ANY THIRD PARTY WHO PROMOTES THE SERVICE OR PROVIDES YOU WITH A LINK TO THE WEBSITE HARMLESS FROM ANY AND ALL CLAIMS, DEMANDS, AND DAMAGES OF EVERY KIND AND NATURE AS CITED ABOVE, ARISING OUT OF OR IN ANY WAY CONNECTED WITH YOUR USE OF THE SITE AND THE SERVICES. To the extent that SIPC may not, as a matter of applicable law, disclaim any implied warranty or limit its liabilities, the scope and duration of such warranty and the extent of our liability will be the minimum permitted under such applicable law.

Indemnification

YOU AGREE TO DEFEND, INDEMNIFY, AND HOLD SIPC, ITS THIRD PARTY TECHNOLOGY PLATFORM PROVIDER, AND ANY EMPLOYEES, OFFICERS, DIRECTORS, AGENTS AND OTHER THIRD PARTY PARTNERS AND EMPLOYEES, HARMLESS FROM ANY AND ALL CLAIMS, DAMAGES, LOSSES, LIABILITIES, DEMANDS, COSTS, AND EXPENSES OF EVERY KIND AND NATURE (INCLUDING ACTUAL, SPECIAL, INCIDENTAL, AND CONSEQUENTIAL), KNOWN OR UNKNOWN, SUSPECTED OR UNSUSPECTED, DISCLOSED OR UNDISCLOSED, ARISING OUT OF OR IN ANY WAY CONNECTED TO, YOUR USE OF THE SITE AND THE SERVICES. YOU WAIVE THE PROVISION OF ANY STATE OR LOCAL LAWS THAT LIMIT OR PROHIBIT SUCH A GENERAL RELEASE.

Agreement to Service

You understand that by checking the "AGREE" box for these Terms of Service and/or any other forms presented to you on our Site you are agreeing to these Terms of Service, as well as the Site's Terms of Use, and that such action constitutes a legal signature.

I ACKNOWLEDGE THAT ALL MY QUESTIONS HAVE BEEN ANSWERED TO MY SATISFACTION.

I ACKNOWLEDGE THAT I HAVE READ THESE TERMS OF SERVICE AND THAT I AGREE TO ALL OF THE PROVISIONS CONTAINED HEREIN.

No waiver by SIPC of any term or condition set forth in these Terms of Service shall be deemed a further or continuing waiver of such term or condition or a waiver of any other term or condition, and any failure of SIPC to assert a right or provision under these Terms of Service shall not constitute a waiver of such right or provision. If any provision of these Terms of Service is held by a court or other tribunal of competent jurisdiction to be invalid, illegal or unenforceable for any reason, such provision shall be eliminated or limited to the minimum extent such that the remaining provisions of the Terms of Service will continue in full force and effect.

If you have any questions about these Terms of Service, or the Site or Services, or would like to report any violations of our Terms of Service, please contact SIPC at asksipc@sipc.org

- I have read and agree to the Terms of Service.
- I have read and agree to the Privacy Policy.

Last Updated: Nov 1st, 2023

AGREE & CONTINUE

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-
- I have read and agree to the Terms of Service.
 - I have read and agree to the Privacy Policy.

Last Updated: Nov 1st, 2023

AGREE & CONTINUE

Your account, appvance4user4@gmail.com, is associated with multiple SIPC members. Please select one from the list below.

Abbott - Aufderhar
Abshire and Sons
Abshire, Bergstrom and Lesch
Altenwerth, McClure and Schneider
Anderson - Poulos **Admin**
Ankunding Group
Ankunding, Considine and Ferry
Aufderhar - Bernhard
Aufderhar Inc **Admin**
Barrows - Lubowitz
Batz - Koch
Batz, Hermiston and West
Beahan Group
Beier LLC
Berge, Oberbrunner and Senger
Blanda Inc
Block LLC **Admin**
Bode, Dooley and Lubowitz
Bogisich, Lakin and Jaskolski **Admin**
Bosco - O'Kon **Admin**
Bosco, Smitham and McLaughlin
Brakus, Kozey and Goldner
Breitenberg and Sons **Admin**
Carroll - Franecki
Casper, Cassin and Graham
Champlin, Nikolaus and Roberts
Christiansen - Miller **Admin**
Christiansen, Romaguera and Feest
Cole LLC **Admin**
Collier, Weissnat and Block
Conn, Stracke and Bauch **Admin**
Connelly - Anderson **Admin**
Conroy LLC
Conroy, Ritchie and Fahey
Crona and Sons **Admin**
Cummerata - O'Keefe
Denesik and Sons
Denesik, Weimann and Koss

Your account, appvance4user4@gmail.com, is associated with multiple SIPC members. Please select one from the list below.

- Abbott - Aufderhar
- Abshire and Sons
- Abshire, Bergstrom and Lesch
- Altenwerth**, McClure and Schneider
- Anderson - Poulos **Admin**
- Ankunding Group
- Ankunding, Considine and Ferry
- Aufderhar - Bernhard
- Aufderhar Inc **Admin**
- Barrows - Lubowitz
- Batz - Koch
- Batz, Hermiston and West
- Beahan Group
- Beier LLC
- Berge, Oberbrunner and Senger
- Blanda Inc
- Block LLC **Admin**

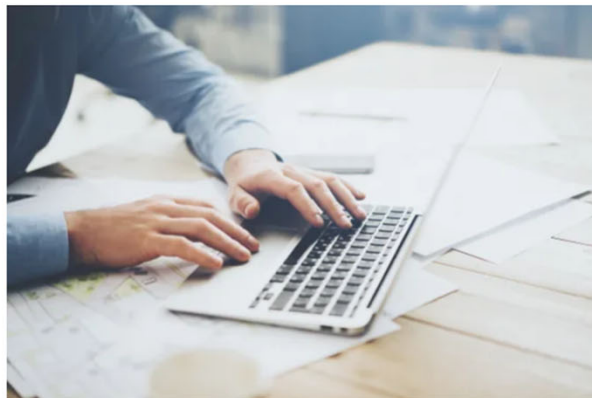


Altenwerth, McClure and Schneider

Support

You last logged in to the SIPC portal on Jan 2nd, 2024 from Boydton, Virginia, United States. Please notify SIPC immediately if you think this is in error.

Last login IP address: 20.22.209.91



TIME TO FILE THE SIPC-7 FORM

To be filed for the fiscal year
(Jan 1st, 2023 - Dec 31st, 2023)
Due: Feb 29th, 2024

START FORM

ALL FORMS

File SIPC-7

Page 1 of 2

SIPC-7
37 REV 0722

SECURITIES INVESTOR PROTECTION CORPORATION

SIPC-7
37 REV 0722

GENERAL ASSESSMENT FORM

For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:	
MEMBER NAME	SEC No.
Altenwerth, McClure and Schneider	8-449173
For the fiscal period beginning 1/1/2023	and ending 12/31/2023

1 Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030) Request

2 Additions:

- a Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.
- b Net loss from principal transactions in securities in trading accounts.
- c Net loss from principal transactions in commodities in trading accounts.
- d Interest and dividend expense deducted in determining item 1.
- e Net loss from management of or participation in the underwriting or distribution of securities.
- f Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.
- g Net loss from securities in investment accounts.
- h Add lines 2a through 2g. This is your **total additions**.

3 Add lines 1 and 2h

4 Deductions:

- a Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.
- b Revenues from commodity transactions.
- c Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.
- d Reimbursements for postage in connection with proxy solicitations.
- e Net gain from securities in investment accounts.
- f 100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.
- g Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 18(b)(1) of the Act).
- h Other revenue not related either directly or indirectly to the securities business.

Deductions in excess of \$100,000 require documentation

5 a Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income

b 40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)

c Enter the greater of line 5a or 5b

6 Add lines 4a through 4h and 5c. This is your **total deductions**.

Upload Supporting Documents (EXCEL, PDF, WORD)

Select Files...

Draft Status

In Progress

Save Draft

Submit Form

Form Instructions

SIPC-7
37 REV 0722

SECURITIES INVESTOR PROTECTION CORPORATION

SIPC-7
37 REV 0722

Support

File SII

GENERAL ASSESSMENT FORM
For the fiscal year ended 12/31/2023

Page 1

Determination of "SIPC NET Operating Revenues" and General Assessment for:
MEMBER NAME **SEC No.**
Altenwerth, McClure and Schneider 8-449173
For the fiscal period beginning 1/1/2023 and ending 12/31/2023

SIPC-7
37 REV 0722

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- 1 Tot
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- b Ne
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- e Ne
- f Exp
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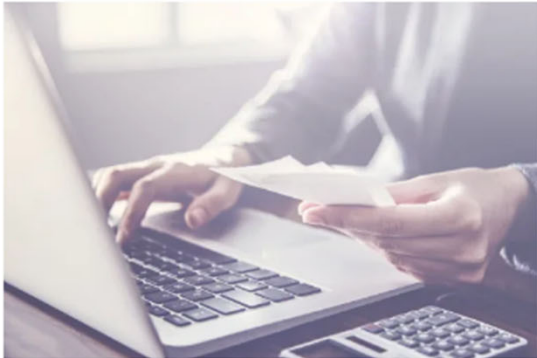
1	Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030)		Requires
2	Additions:		
a	Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.		
b	Net loss from principal transactions in securities in trading accounts.		
c	Net loss from principal transactions in commodities in trading accounts.		
d	Interest and dividend expense deducted in determining item 1.		
e	Net loss from management of or participation in the underwriting or distribution of securities.		
f	Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.		
g	Net loss from securities in investment accounts.		
h	Add lines 2a through 2g. This is your total additions .		
3	Add lines 1 and 2h		
4	Deductions:		
a	Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.		
b	Revenues from commodity transactions.		
c	Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.		
d	Reimbursements for postage in connection with proxy solicitations.		
e	Net gain from securities in investment accounts.		
f	100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.		
g	Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).		
h	Other revenue not related either directly or indirectly to the securities business.		
	Deductions in excess of \$100,000 require documentation		
5 a	Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income		
b	40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)		
c	Enter the greater of line 5a or 5b		
6	Add lines 4a through 4h and 5c. This is your total deductions .		



Altenwerth, McClure and Schneider

Support

Assessments & Payments



Balance Due

\$0.00

Next Payment Due

Feb 29th, 2024

You have no current balance. Check back once you have filed a form.

Make Payment

Assessments

Payments

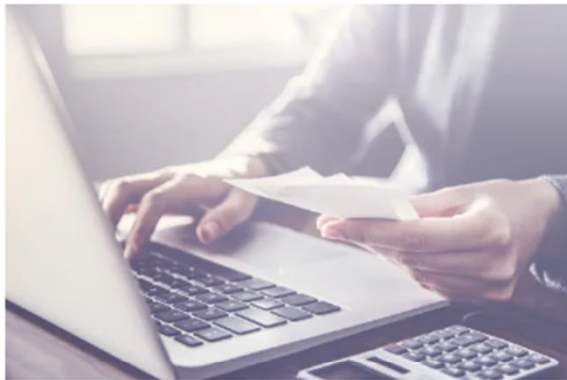
Assessment history is only displayed for forms filed through the portal.

There are no assessments for forms filed through the portal on file.

Altenwerth, McClure and Schneider

Support

Assessments & Payments



Balance Due

\$0.00

Next Payment Due

Feb 29th, 2024

You have no current balance. Check back once you have filed a form.

[Make Payment](#)

Assessments

Payments

Payment history is only displayed for forms filed through the portal.

There are no payments for forms filed through the portal on file.



Altenwerth, McClure and Schneider

Support

Forms

Current Fiscal Year

Jan 1st, 2024 - Dec 31st, 2024

SIPC-6

To be filed for the first half of the fiscal year. The form and assessment payment is due 30 days after the end of the first six months of the fiscal year.

Can File On

Jul 1st, 2024

Due

Jul 30th, 2024

SIPC-7

To be filed at the end of the fiscal year. The form and assessment payment is due 60 days after the end of the fiscal year.

Can File On

Jan 1st, 2025

Due

Mar 3rd, 2025

Previous Fiscal Years

Jan 1st, 2023 - Dec 31st, 2023

SIPC-6

To be filed for the first half of the fiscal year. The form and assessment payment is due 30 days after the end of the first six months of the fiscal year.

Can File On

Jul 1st, 2023

Due

Jul 31st, 2023

Filed

Aug 1st, 2023

SIPC-7

To be filed at the end of the fiscal year. The form and assessment payment is due 60 days after the end of the fiscal year.

File Form

Due

Feb 29th, 2024



Altenwerth, McClure and Schneider

Support

Forms

Current Fiscal Year

Jan 1st, 2024 - Dec 31st, 2024

SIPC-6

To be filed for the first half of the fiscal year. The form and assessment payment is due 30 days after the end of the first six months of the fiscal year.

Can File On

Jul 1st, 2024

Due

Jul 30th, 2024

SIPC-7

To be filed at the end of the fiscal year. The form and assessment payment is due 60 days after the end of the fiscal year.

Can File On

Jan 1st, 2025

Due

Mar 3rd, 2025

Previous Fiscal Years

Jan 1st, 2022 - Dec 31st, 2022

SIPC-6

To be filed for the first half of the fiscal year. The form and assessment payment is due 30 days after the end of the first six months of the fiscal year.

Can File On

Jul 1st, 2022

Due

Aug 1st, 2022

Filed

Aug 5th, 2022

SIPC-7

To be filed at the end of the fiscal year. The form and assessment payment is due 60 days after the end of the fiscal year.

Can File On

Jan 1st, 2023

Due

Mar 1st, 2023

Filed

Jan 28th, 2023

[File Amendment](#)



Altenwerth, McClure and Schneider

Support

Forms

Current Fiscal Year

Jan 1st, 2024 - Dec 31st, 2024

SIPC-6

To be filed for the first half of the fiscal year. The form and assessment payment is due 30 days after the end of the first six months of the fiscal year.

Can File On

Jul 1st, 2024

Due

Jul 30th, 2024

SIPC-7

To be filed at the end of the fiscal year. The form and assessment payment is due 60 days after the end of the fiscal year.

Can File On

Jan 1st, 2025

Due

Mar 3rd, 2025

Previous Fiscal Years

Jan 1st, 2023 - Dec 31st, 2023

SIPC-6

To be filed for the first half of the fiscal year. The form and assessment payment is due 30 days after the end of the first six months of the fiscal year.

Can File On

Jul 1st, 2023

Due

Jul 31st, 2023

Filed

Aug 1st, 2023

SIPC-7

To be filed at the end of the fiscal year. The form and assessment payment is due 60 days after the end of the fiscal year.

File Form

Due

Feb 29th, 2024



SIPC-7
37 REV 0722

SECURITIES INVESTOR PROTECTION CORPORATION

SIPC-7
37 REV 0722

GENERAL ASSESSMENT FORM

For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:	
MEMBER NAME Altenwerth, McClure and Schneider	SEC No. 8-449173
For the fiscal period beginning <u>1/1/2023</u> and ending <u>12/31/2023</u>	

1	Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030)	\$ 40,000,000.00
2	Additions:	
a	Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.	
b	Net loss from principal transactions in securities in trading accounts.	
c	Net loss from principal transactions in commodities in trading accounts.	
d	Interest and dividend expense deducted in determining item 1.	
e	Net loss from management of or participation in the underwriting or distribution of securities.	
f	Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.	
g	Net loss from securities in investment accounts.	
h	Add lines 2a through 2g. This is your total additions .	\$ 0.00
3	Add lines 1 and 2h	\$ 40,000,000.00
4	Deductions:	
a	Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.	

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files...

You must check the signature
check box

Draft Status

In Progress

Save Draft

Submit Form

Form Instructions

GENERAL ASSESSMENT FORM

For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:	
MEMBER NAME	SEC No.
Altenwerth, McClure and Schneider	8-449173
For the fiscal period beginning <u>1/1/2023</u> and ending <u>12/31/2023</u>	

1	Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030)		<u>\$ 40,000,000.00</u>
2	Additions:		
a	Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.	<u>\$ 100.00</u>	
b	Net loss from principal transactions in securities in trading accounts.	<u>\$ 200.00</u>	
c	Net loss from principal transactions in commodities in trading accounts.		
d	Interest and dividend expense deducted in determining item 1.		
e	Net loss from management of or participation in the underwriting or distribution of securities.		
f	Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.		
g	Net loss from securities in investment accounts.		
h	Add lines 2a through 2g. This is your total additions .		<u>\$ 300.00</u>
3	Add lines 1 and 2h		<u>\$ 40,000,300.00</u>
4	Deductions:		

4	Deductions:		
a	Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.		
b	Revenues from commodity transactions.	\$ 100.00	
c	Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.		
d	Reimbursements for postage in connection with proxy solicitations.		
e	Net gain from securities in investment accounts.		
f	100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.		
g	Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).		
h	Other revenue not related either directly or indirectly to the securities business.	\$ 250,000.00	
	<i>Deductions in excess of \$100,000 require documentation</i>		
5	a Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income		
	b 40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)		
	c Enter the greater of line 5a or 5b	\$ 0.00	
6	Add lines 4a through 4h and 5c. This is your total deductions .		\$ 250,100.00

File SIPC-7

SIPC-7
37 REV 0722

SECURITIES INVESTOR PROTECTION CORPORATION

SIPC-7
37 REV 0722

GENERAL ASSESSMENT FORM
For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:
MEMBER NAME Altenwerth, McClure and Schneider **SEC No.** 8-449173
 For the fiscal period beginning 1/1/2023 and ending 12/31/2023

1	Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030)		\$ 40,000,000.00
2	Additions:		
a	Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.	\$ 100.00	
b	Net loss from principal transactions in securities in trading accounts.	\$ 200.00	
c	Net loss from principal transactions in commodities in trading accounts.		
d	Interest and dividend expense deducted in determining item 1.		
e	Net loss from management of or participation in the underwriting or distribution of securities.		
f	Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.		
g	Net loss from securities in investment accounts.		
h	Add lines 2a through 2g. This is your total additions .		\$ 300.00
3	Add lines 1 and 2h		\$ 40,000,300.00
4	Deductions:		
a	Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.		
b	Revenues from commodity transactions.	\$ 100.00	
c	Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.		
d	Reimbursements for postage in connection with proxy solicitations.		
e	Net gain from securities in investment accounts.		
f	100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.		
g	Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).		
h	Other revenue not related either directly or indirectly to the securities business.	\$ 250,000.00	
	Deductions in excess of \$100,000 require documentation		
5 a	Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income		
b	40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)		
c	Enter the greater of line 5a or 5b	\$ 0.00	
6	Add lines 4a through 4h and 5c. This is your total deductions .		\$ 250,100.00

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files...

Supporting documentation must be provided for deductions in excess of \$100,000

You must check the signature check box

Draft Status

In Progress

Save Draft

Submit Form

Form Instructions

File SIPC-7

Page ◀ 1 ▶ of 2 🔍 🖨️

SIPC-7 37 REV 0722 SECURITIES INVESTOR PROTECTION CORPORATION SIPC-7 37 REV 0722

GENERAL ASSESSMENT FORM
For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:	
MEMBER NAME Altenwerth, McClure and Schneider	SEC No. 8-449173
For the fiscal period beginning <u>1/1/2023</u> and ending <u>12/31/2023</u>	

1 Total Revenue (FOCUS Report - Statement of Income (Loss) - Code 4030) \$ 40,000,000.00

2 Additions:

a Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.

b Net loss from principal transactions in securities in trading accounts.

c Net loss from principal transactions in commodities in trading accounts.

d Interest and dividend expense deducted in determining item 1.

e Net loss from management of or participation in the underwriting or distribution of securities.

f Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.

g Net loss from securities in investment accounts.

h Add lines 2a through 2g. This is your **total additions**. \$ 0.00

3 Add lines 1 and 2h \$ 40,000,000.00

4 Deductions:

a Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.

b Revenues from commodity transactions.

c Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.

d Reimbursements for postage in connection with proxy solicitations.

e Net gain from securities in investment accounts.

f 100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.

g Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act). \$ 50,000.00

h Other revenue not related either directly or indirectly to the securities business. \$ 50,000.00

Deductions in excess of \$100,000 require documentation

5 Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income

b 40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)

c Enter the greater of line 5a or 5b \$ 0.00

6 Add lines 4a through 4h and 5c. This is your **total deductions**. \$ 50,000.00

Page 1

Upload Supporting Documents (EXCEL, PDF, WORD)

Select Files...

You must check the signature check box

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Form Instructions

File SIPC-7

Page 1 of 2

SIPC-7 37 REV 0722 SECURITIES INVESTOR PROTECTION CORPORATION SIPC-7 37 REV 0722

GENERAL ASSESSMENT FORM
For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:	
MEMBER NAME	SEC No.
Alterwerth, McClure and Schneider	8-449173
For the fiscal period beginning <u>1/1/2023</u> and ending <u>12/31/2023</u>	

1 Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030) \$ 40,000,000.00

2 Additions:

a Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.

b Net loss from principal transactions in securities in trading accounts.

c Net loss from principal transactions in commodities in trading accounts.

d Interest and dividend expense deducted in determining item 1.

e Net loss from management of or participation in the underwriting or distribution of securities.

f Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.

g Net loss from securities in investment accounts.

h Add lines 2a through 2g. This is your **total additions**. \$ 0.00

3 Add lines 1 and 2h \$ 40,000,000.00

4 Deductions:

a Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.

b Revenues from commodity transactions.

c Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.

d Reimbursements for postage in connection with proxy solicitations.

e Net gain from securities in investment accounts.

f 100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.

g Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).

h Other revenue not related either directly or indirectly to the securities business. \$ 250,000.00

Deductions in excess of \$100,000 require documentation

5 **a** Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income

b 40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)

c Enter the greater of line 5a or 5b \$ 0.00

6 Add lines 4a through 4h and 5c. This is your **total deductions**. \$ 250,000.00

Page 1

Upload Supporting Documents (EXCEL, PDF, WORD)

Select Files...

Supporting documentation must be provided for deductions in excess of \$100,000

You must check the signature check box

Draft Status

In Progress

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Submit Form

Form Instructions

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files...

Supporting documentation
must be provided for
deductions in excess of
\$100,000

You must check the signature
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Draft Status

In Progress ▼

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Submit Form

Form Instructions

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files...

✓ Done



Sample 4h ...
51.08 KB



You must check the signature
check box

Draft Status

In Progress



Save Draft

Submit Form

Form Instructions

Line by Line Instructions

Your SIPC-7 General Assessment should be completed as follows:

Line 1 (Total Revenue):

- For the fiscal year enter the total revenue number from the FOCUS Report (X-17A-5) – Statement of Income (Loss) – Code 4030.

The purpose of the Additions (lines 2a-2g) and Deductions (lines 4a-4h) is to determine SIPC Net Operating Revenues.

Lines 2a-2g. Additions:

- Lines 2a through 2g assure that assessable income and gain items of SIPC Net Operating Revenues are totaled, unreduced by any losses (e.g., if a net loss was incurred for the period from all transactions in trading account securities, that net loss does not reduce other assessable revenues).
- Line 2a. Include the SIPC Net Operating Revenues for any predecessor member which are not included in Line 1 (Total Revenue) and were not reported separately and the SIPC assessments which were not paid by such predecessor.
- Line 2b. The term “securities in trading accounts” is defined by SIPC Bylaw and means “securities held for sale in the ordinary course of business and not identified as having been held for investment.”
- Line 2d. Include all short dividend and interest payments including those incurred in reverse conversion accounts, rebates on stock loan positions and repo interest which have been netted in determining Line 1.
- Line 2g. The term “securities in investment accounts” is defined by SIPC bylaw and means “securities that are clearly identified as having been acquired for investment in accordance with provisions of the Internal Revenue Code applicable to dealers in securities.”

Line 2h. Total Additions:

- Line 2h will automatically populate with the sum of Lines 2a through 2g.


Line 3.

- Line 3 will automatically populate with the sum of Line 1 and Line 2h.

Lines 4a-4h. 5a-5c. Deductions:

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files... ✓ Done

 Sample 4h ...
51.08 KB ×

You must check the signature
check box

Draft Status

In Progress ▼

Please select a draft status...

In Progress

Ready for Review


Ready to Submit

Submit Form

Form Instructions

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files... Done

	Sample 4h ... 51.08 KB	<input type="checkbox"/>
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You must check the signature
check box

Draft Status

Ready for Review


Save Draft

Submit Form

Form Instructions

Upload Supporting Documents
(EXCEL, PDF, WORD)

Select Files... ✓ Done

 Sample 4h ...
51.08 KB ×

You must check the signature
check box

Draft Status

Ready for Review ▼

Save Draft

Draft saved at 14:15:26 pm

Attachments will not be
saved along with the draft
form and will be required to
be uploaded at the time the
form is submitted.

Submit Form

Form Instructions



YOU HAVE A SIPC-7 DRAFT READY FOR REVIEW

To be filed for the fiscal year
(Jan 1st, 2023 - Dec 31st, 2023)
Due: Feb 29th, 2024

[CONTINUE FORM](#)

[ALL FORMS](#)

File SIPC-7

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Page 1

SIPC-7
37 REV 0722
SECURITIES INVESTOR PROTECTION CORPORATION
SIPC-7
37 REV 0722

GENERAL ASSESSMENT FORM
For the fiscal year ended 12/31/2023

7	Subtract line 6 from line 3. This is your SIPC Net Operating Revenues.	\$ 39,750,000.00
8	Multiply line 7 by .0015. This is your General Assessment.	\$ 59,625.00
9	Current overpayment/credit balance, if any	\$ 0.00
10	General assessment from last filed <u>2023</u> SIPC-6 or 6A	\$ 3,358.00
11 a	Overpayment(s) applied on all <u>2023</u> SIPC-6 and 6A(s)	\$ 0.00
b	Any other overpayments applied	\$ 0.00
c	All payments applied for <u>2023</u> SIPC-6 and 6A(s)	\$ 3,358.00
d	Add lines 11a through 11c	\$ 3,358.00
12	LESSER of line 10 or 11d.	\$ 3,358.00
13 a	Amount from line 8	\$ 59,625.00
b	Amount from line 9	\$ 0.00
c	Amount from line 12	\$ 3,358.00
d	Subtract lines 13b and 13c from 13a. This is your assessment balance due.	\$ 56,267.00
14	Interest (see instructions) for <u>0</u> days late at 20% per annum	\$ 0.00
15	Amount you owe SIPC. Add lines 13d and 14.	\$ 56,267.00
16	Overpayment/credit carried forward (if applicable)	\$ 0.00

SEC No. 8-449173	Designated Examining Authority DEA: FINRA	FYE 2023	Month Dec
MEMBER NAME Altenwerth, McClure and Schneider			
MAILING ADDRESS 9911 Xzavier Mission Upton, IL 83045-7863			

Subsidiaries (S) and predecessors (P) included in the form (give name and SEC number)

By checking this box, you certify that you have the authority of the SIPC member to sign this form; that all information in this form is true and complete; and that on behalf of the SIPC member, you are authorized, and do hereby consent, to the storage and handling by SIPC of the data in accordance with SIPC's Privacy Policy

Altenwerth, McClure and Schneider (Name of SIPC Member)	AppVance Test 4 (Authorized Signatory)
1/3/2024 (Date)	appvance4user4@gmail.com (e-mail address)

Completion of the "Authorized Signatory" line will be deemed a signature.

This form and the assessment payment are due 60 days after the end of the fiscal year.

Page 2

Upload Supporting Documents (EXCEL, PDF, WORD)

Select Files... Done

Sample 4h ...
51.08 KB

Draft Status

Ready for Review

Save Draft

Submit Form

Form Instructions

SIPC-7 Filed



File Form

Thank you! Your form was submitted.

SUBMISSION ID: 449173-1704309365

⚠ Please download your form now to save a copy for your records. You will not be able to download your form once you have left this page.

[Download Form](#)

Files Attached: Sample 4h Documentation.pdf

GENERAL ASSESSMENT FORM

For the fiscal year ended 12/31/2023

Determination of "SIPC NET Operating Revenues" and General Assessment for:	
MEMBER NAME	SEC No.
Altenwerth, McClure and Schneider	8-449173
For the fiscal period beginning <u>1/1/2023</u> and ending <u>12/31/2023</u>	

1	Total Revenue (FOCUS Report – Statement of Income (Loss) – Code 4030)		\$ 40,000,000.00
2	Additions:		
	a Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.	_____	
	b Net loss from principal transactions in securities in trading accounts.	_____	
	c Net loss from principal transactions in commodities in trading accounts.	_____	
	d Interest and dividend expense deducted in determining item 1.	_____	
	e Net loss from management of or participation in the underwriting or distribution of securities.	_____	
	f Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit management of or participation in underwriting or distribution of securities.	_____	
	g Net loss from securities in investment accounts.	_____	
	h Add lines 2a through 2g. This is your total additions .		\$ 0.00
3	Add lines 1 and 2h		\$ 40,000,000.00
4	Deductions:		
	a Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts and from transactions in security futures products.	_____	
	b Revenues from commodity transactions.	_____	
	c Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.	_____	
	d Reimbursements for postage in connection with proxy solicitations.	_____	
	e Net gain from securities in investment accounts.	_____	
	f 100% commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.	_____	
	g Direct expenses of printing, advertising, and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).	_____	
	h Other revenue not related either directly or indirectly to the securities business.		\$ 250,000.00
	<i>Deductions in excess of \$100,000 require documentation</i>		
5	a Total interest and dividend expense (FOCUS Report - Statement of Income (Loss) - Code 4075 plus line 2d above) but not in excess of total interest and dividend income	_____	
	b 40% of margin interest earned on customers securities accounts (40% of FOCUS Report - Statement of Income (Loss) - Code 3960)	_____	
	c Enter the greater of line 5a or 5b		\$ 0.00
6	Add lines 4a through 4h and 5c. This is your total deductions .		\$ 250,000.00

GENERAL ASSESSMENT FORM

For the fiscal year ended 12/31/2023

7	Subtract line 6 from line 3. This is your SIPC Net Operating Revenues.	\$ 39,750,000.00
8	Multiply line 7 by .0015. This is your General Assessment.	\$ 59,625.00
9	Current overpayment/credit balance, if any	\$ 0.00
10	General assessment from last filed <u>2023</u> SIPC-6 or 6A	\$ 3,358.00
11	a Overpayment(s) applied on all <u>2023</u> SIPC-6 and 6A(s)	\$ 0.00
	b Any other overpayments applied	\$ 0.00
	c All payments applied for <u>2023</u> SIPC-6 and 6A(s)	\$ 3,358.00
	d Add lines 11a through 11c	\$ 3,358.00
12	LESSER of line 10 or 11d.	\$ 3,358.00
13	a Amount from line 8	\$ 59,625.00
	b Amount from line 9	\$ 0.00
	c Amount from line 12	\$ 3,358.00
	d Subtract lines 13b and 13c from 13a. This is your assessment balance due.	\$ 56,267.00
14	Interest (see instructions) for <u>0</u> days late at 20% per annum	\$ 0.00
15	Amount you owe SIPC. Add lines 13d and 14.	\$ 56,267.00
16	Overpayment/credit carried forward (if applicable)	\$ 0.00

<i>SEC No.</i> 8-449173	<i>Designated Examining Authority</i> DEA: FINRA	<i>FYE</i> 2023	<i>Month</i> Dec
<i>MEMBER NAME</i> Altenwerth, McClure and Schneider			
<i>MAILING ADDRESS</i> 9911 Xzavier Mission Uptonon, IL 83045-7863			

Subsidiaries (S) and predecessors (P) included in the form (give name and SEC number)

By checking this box, you certify that you have the authority of the SIPC member to sign this form; that all information in this form is true and complete; and that on behalf of the SIPC member, you are authorized, and do hereby consent, to the storage and handling by SIPC of the data in accordance with SIPC's Privacy Policy

Altenwerth, McClure and Schneider _____ (Name of SIPC Member)	AppVance Test 4 _____ (Authorized Signatory)
1/3/2024 _____ (Date)	appvance4user4@gmail.com _____ (e-mail address)

Completion of the "Authorized Signatory" line will be deemed a signature.

This form and the assessment payment are due 60 days after the end of the fiscal year.

2

Pay Balance:

\$56,267

Assessments and Interest:

<input checked="" type="checkbox"/> SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023) - Submission ID: 449173-1704309365	\$56,267.00
--	-------------

Total:

\$56,267.00

Payment Method

ACH

SIPC Members with assessments below the dollar threshold (currently \$1,000,000) are eligible to make their assessment payments electronically from their bank accounts via ACH Debit Authorization.

Wire Transfer or Check

If not able to pay via ACH, a printable voucher with instructions for paying by check or wire transfer will be created.



**Securities Investor Protection Corporation
Payment Voucher and Instructions:**

Check:

Make check payable to
and mail check and voucher to:

Securities Investor Protection Corporation
Mail Code: 8967
P.O. Box 7247
Philadelphia, PA 19170-0001

Include SEC Registration No. (begins with 8-) and Submission ID on the check
**** DO NOT MAIL A COPY OF THE ASSESSMENT FORM WITH CHECK AND VOUCHER****

The overnight address for express mail (UPS, FedEx, etc.) is:

Remitco
Securities Investor Protection Corp - Lockbox 8967
400 White Clay Center Drive
Newark, DE 19711

Wire:

Wire the payment to:

Bank Name: Citibank, New York
Swift: CITIUS33
ABA#: 021000089
Account Number: 30801482
Address: 111 Wall Street, New York, New York 10043 USA

On the wire identify the name of the firm, its SEC Registration No. (begins with 8-), Submission ID, and label it as "for assessment". **Interest will be assessed on late payments. Please refer to the voucher below if paying after the form due date.***

ACH Debit:

For your convenience, you can choose to pay your assessment via your Broker Dealer portal account. Select the Payments option from the portal menu.

PLEASE DETACH THIS PAYMENT VOUCHER AND RETURN WITH YOUR CHECK



Altenwerth, McClure and Schneid
8-449173

Make Checks Payable / Remit To:
Securities Investor Protection Corporation
Mail Code: 8967
P.O. Box 7247
Philadelphia, PA 19170-0001

FORM	FYE	FY MONTH	SUBMISSION ID	DUE DATE	AMOUNT DUE
SIPC-7	2023	DECEMBER	449173-1704309365	02/29/2024	\$56,267.00

*Interest is charged on unpaid balances after the form due date.
Please reprint the payment voucher immediately before paying
to ensure all interest is included.

AMOUNT DUE	\$56,267.00
AMOUNT REMITTED	

Make a Payment

Assessments and Interest:

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023) - Submission ID: 449173-1704309365

\$56,267.00

Total:

\$56,267.00

Payment Method

ACH

SIPC Members with assessments below the dollar threshold (currently \$1,000,000) are eligible to make their assessment payments electronically from their bank accounts via ACH Debit Authorization.

Wire Transfer or Check

If not able to pay via ACH, a printable voucher with instructions for paying by check or wire transfer will be created.

CONTINUE

Accept Terms & Conditions



Accept Terms & Conditions To Continue

You will be directed to Aliaswire to complete your ACH payment. Aliaswire is a payment technology provider. In order to continue please accept the Terms and Conditions below.

Terms and Conditions

Welcome to the terms and conditions (the Terms and Conditions) for the use of this website and for electronic payment of assessments to SIPC from a Member's bank account via an automated clearing house (ACH) transfer. If you choose to use this payment method, you, individually and as an agent for a SIPC member firm (together, "you") accept and agree to these Terms and Conditions.

Terms and Conditions for Use of this Website

SIPC does not host or administer the payment web site (the "Payment Service"). SIPC assumes no responsibility for errors or omissions or any other issues with respect to the Payment Service. Users should safeguard, and bear the responsibility for the safety of their SIPC login information. In no event shall SIPC be liable for any special, direct, indirect, consequential, or incidental damages or any damages whatsoever, whether in an action of contract, negligence or other tort, arising out of or in connection with the use of the Payment Service or the contents of the Payment Service, SIPC does not warrant that the Payment Service site is free of viruses or other harmful components.

Terms and Conditions for ACH Payment

1. Bank Account Payments

By choosing to use a bank account as your payment method, you will be able to pay SIPC member assessment by using any valid ACH enabled bank account at a United States-based financial institution. Whenever you choose to pay by ACH, you are authorizing SIPC (or its agent) to debit your bank account for the total amount due. Your transaction must be payable in U.S. dollars. SIPC, in its sole discretion, may refuse this payment option service to anyone or any user without notice for any reason at any time. Transactions that we process using your bank account will be identified as "SIPC" (or similar identifier) on the statement issued by your bank or other financial institution holding your account. All questions relating to any transactions made using your bank account should be initially directed to SIPC, but may also require involvement of your bank. The Member remains liable for any assessment payment that is not completed timely through ACH, plus any applicable penalties and interest, including but not limited to any bank or other fees.

2. ACH Authorization

By choosing this payment method, you agree that: (a) you are a person with proper authority to make electronic payments on behalf of the SIPC member through ACH; (b) you have read,

Terms and Conditions

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[ACH Payment](#)

[Bank Account Payments](#)

[ACH Authorization](#)

[Transaction Errors](#)

[Your Liability for Unauthorized Transactions](#)

[Electronic Delivery of Future Disclosures](#)

[Agreement Changes](#)

[Support](#)

[Privacy Policy](#)

[What This Privacy Policy Covers](#)

[Information Collection and Use](#)

[Information Sharing and Disclosure](#)

[Confidentiality and Security](#)

[Changes to this Privacy Policy](#)

[SIPC Amendment to Policy](#)

SIPC Amendment to Policy

This site is operated by Aliaswire to allow for the payments to the Securities Investor Protection Corporation (SIPC) through the Automated Clearing House system. SIPC may collect and use the personal information received from users of the site for the processing and accounting of member payments or other business purposes. SIPC also reserves the right to disclose any information gathered from the site to its agents or contractors, or when the disclosure is required by law or regulation. SIPC does not sell, lend, rent or otherwise provide this information to any third parties. For more information see [SIPC's Privacy Policy](#).

I have read and agree to the Terms and Conditions.

Last Updated: Nov 1st, 2023


DECLINE

AGREE & CONTINUE

Member Name

ALTENWERTH, MCCLURE AND
SCHNEIDER



 RETURN TO PORTAL

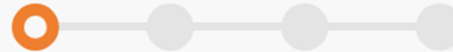
Member


Member

Payment

Confirmation

Receipt



SEC Registration No.: 8-449173 

SEC Registration No.

8-449173

Member Name

ALTENWERTH MCCLURE AND SCHNEIDER

Email Address

appvance4user4@gmail.com

Additional Comments

Next

You last logged in to the SIPC portal on Jan 3rd, 2024 from Boydton, Virginia, United States. Please notify SIPC immediately if you think this is in error.

Last login IP address: 20.22.209.91

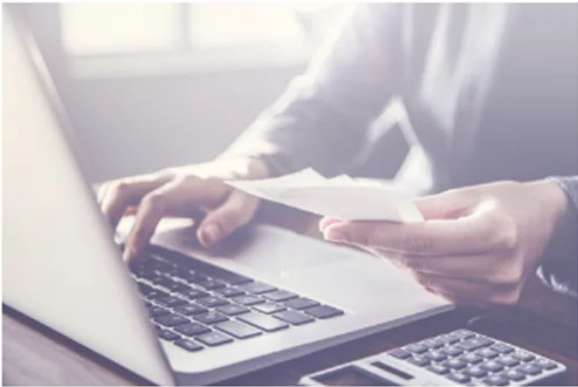


YOUR SIPC-7 PAYMENT IS DUE

Due: Feb 29th, 2024

MAKE PAYMENT

Assessments & Payments



Balance Due
\$56,267.00

[View Details](#)

Payment Due
Feb 29th, 2024

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023)

[Make Payment](#)

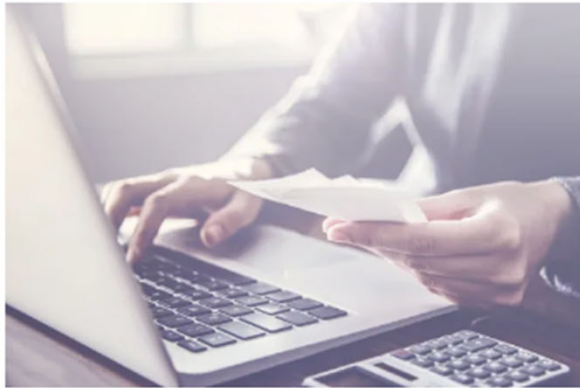
Assessments

Payments

Assessment history is only displayed for forms filed through the portal.

Due Date	Submission Date	Form	Fiscal Period	Assessment ⓘ	Interest ⓘ	Payment Status ⓘ
Feb 29th, 2024	Jan 3rd, 2024	SIPC-7	Jan 1st, 2023 - Dec 31st, 2023	\$56,267.00	\$0.00	Unpaid

Assessments & Payments



Balance Due

\$56,267.00

[View Details](#)

Payment Due

Feb 29th, 2024

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023)

[Make Payment](#)

Assessments

Payments

Payment history is only displayed for forms filed through the portal.

There are no payments for forms filed through the portal on file.

Make a Payment

Assessments and Interest:

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023) - Submission ID: 449173-1704309365

\$56,267.00

Total:

\$56,267.00

Payment Method

ACH

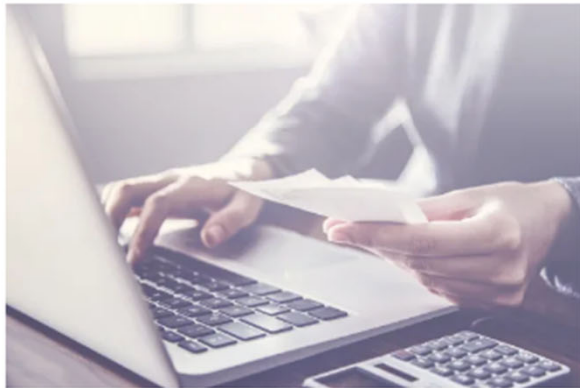
SIPC Members with assessments below the dollar threshold (currently \$1,000,000) are eligible to make their assessment payments electronically from their bank accounts via ACH Debit Authorization.

Wire Transfer or Check

If not able to pay via ACH, a printable voucher with instructions for paying by check or wire transfer will be created.

CONTINUE

Assessments & Payments



Balance Due

\$56,267.00

[View Details](#)

Payment Due

Feb 29th, 2024

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023)

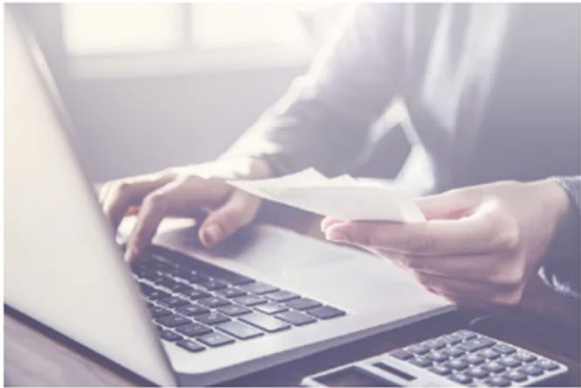
[Make Payment](#)

Balance Details



Description	Type	Amount
SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023)	Assessment ⓘ	\$56,267.00
Balance Due:		\$56,267.00

Assessments & Payments



Balance Due

\$56,267.00

[View Details](#)

Payment Due

Feb 29th, 2024

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023)

[Make Payment](#)

Make a Payment

Assessments and Interest:

SIPC-7 (Jan 1st, 2023 - Dec 31st, 2023) - Submission ID: 449173-1704309365

\$56,267.00

Total:

\$56,267.00

Payment Method

ACH

SIPC Members with assessments below the dollar threshold (currently \$1,000,000) are eligible to make their assessment payments electronically from their bank accounts via ACH Debit Authorization.

Wire Transfer or Check


If not able to pay via ACH, a printable voucher with instructions for paying by check or wire transfer will be created.

CONTINUE

Member Name

ALTENWERTH, MCCLURE AND
SCHNEIDER



 RETURN TO PORTAL

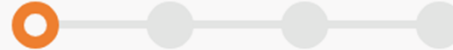
Member


Member

Payment

Confirmation

Receipt



SEC Registration No.: 8-449173 

SEC Registration No.

8-449173

Member Name

ALTENWERTH MCCLURE AND SCHNEIDER

Email Address

appvance4user4@gmail.com

Additional Comments

Next

Total Amount of \$56,267.00



Payment Amount

\$56,267.00

Bank Account Type

Business Checking



Name on Account

SIPC Test

Bank Routing Number

02100008

9-Digit Routing Number found in bottom left of check

Nine digit number

Bank Account Number

Checking Account Number

Account Number found on bottom of check near Routing Number

Confirm Account Number

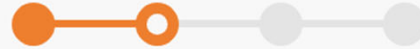
I authorize SIPC - XR22, its bank and agents to verify the bank account details being entered for payment.

Previous

Next

Payment

Member Payment Confirmation Receipt



Total Amount of \$56,267.00



Payment Amount

\$56,267.00

Bank Account Type

Business Checking



Name on Account

SIPC Test

Bank Routing Number

02100089

9-Digit Routing Number found in bottom left of check

CITIBANK NA

Bank Account Number

123123

Account Number found on bottom of check near Routing Number

Confirm Account Number

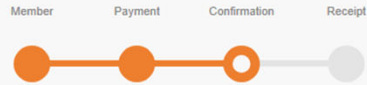
123123

I authorize SIPC - XR22, its bank and agents to verify the bank account details being entered for payment.


Previous

Next

Confirmation



Total Amount of \$56,267.00 ^

Payment Date 01/03/2024	SEC Registration No. 8-449173
Member Name ALTENWERTH MCCLURE AND SCHNEIDER	Email Receipt to appvance4user4@gmail.com
Payment Type Direct Payment	Additional Comments
Payment Method  Business checking ...3123	
Payment Amount \$56,267.00	

By clicking Pay, I, as agent for the SIPC Member firm and for which I have signatory authority, authorize SIPC electronically to debit the account ending in 3123, in the amount of \$56267.00 on or the next business day after 01/03/2024. I understand that because this is an electronic transaction, these funds may be withdrawn from the account as soon as today. This authorization will remain in full force and effect until I notify SIPC by telephone at (202) 371-8300 AND by email at ACH@SIPC.org before 4:00 p.m. ET on today's date to withdraw authorization.

Previous

Pay



Upload Files

You can upload files for SIPC such as your AUP here.

Upload File

Recent Files

You have no recent uploaded files



Upload Files

You can upload files for SIPC such as your AUP here.

Upload File

Recent Files

You have no recent uploaded files

Add File ×

Category

Fiscal Year

Comments

Add File



Category

AUP Report ▼

Please select a category

- AUP Report
- Foreign Determination Sheet
- Other

Comments

Select File...

Cancel

Submit File

Add File



Category

AUP Report



Fiscal Year

Select a fiscal year



Select a fiscal year

Jan 1st, 2023 - Dec 31st, 2023

Jan 1st, 2022 - Dec 31st, 2022

Jan 1st, 2021 - Dec 31st, 2021

Not Applicable

Select File...

Cancel

Submit File



Altenwerth, McClure and Schneider

Support

Secure Messages

Add Message

Inbox
Discussion threads between broker-dealer and SIPC.

Sent
Discussion threads created by broker-dealer.

Archive
Resolved discussion threads archived by broker-dealer.

<input type="checkbox"/>	Inbox	Date
<input type="checkbox"/>	Reminder: SIPC Assessment Form Due Feb 29, 2024	Jan 1st, 2024 12:27 am
<input type="checkbox"/>	Reminder: SIPC Assessment Form Due Jul 31, 2023	Sep 20th, 2023 3:53 am
<input type="checkbox"/>	Reminder: SIPC Assessment Form Due	Jul 28th, 2023 8:38 am
<input type="checkbox"/>	Reminder: SIPC Assessment Form Due	Jul 25th, 2023 12:30 am
<input type="checkbox"/>	Reminder: SIPC Assessment Form Due Jul 31, 2023	Jul 20th, 2023 12:00 am
<input type="checkbox"/>	Reminder: SIPC Assessment Form Due Jul 31, 2023	Jul 19th, 2023 5:43 pm

New Message



Topic

Please select a topic ▼

- Please select a topic
- Assessment
- Payment
- Termination
- Fiscal Year
- Change of Address
- General

Cancel

Submit



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MEMBER INFORMATION


PORTAL USERS

 **USER MENU**

 Logout

Altenwerth, McClure and Schneider



 Support



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MEMBER INFORMATION

 **USER MENU**

 Logout

Altenwerth, McClure and Schneider

Admin

MANAGE USERS

 Support



Altenwerth, McClure and Schneider



Support

Member Information

Member Name	Altenwerth, McClure and Schneider
Doing Business As	Balistreri - Erdman
Mailing Address	9911 Xzavier Mission Uptonton, IL 83045-7863
SEC Number	8-449173
CRD Number	449173
Designated Examining Authority	Financial Industry Regulatory Authority
Current Fiscal Year End	Dec 31st, 2024



[HOME](#) [ASSESSMENTS & PAYMENTS](#) [FORMS](#) [FILE UPLOAD](#)

MEMBER INFORMATION


 **USER MENU**

 Logout

Altenwerth, McClure and Schneider

Admin

MANAGE USERS

 Support

Administration

Current Users

 Add User

Email	Name	Last Login
appvance4user4@gmail.com Admin	AppVance Test 4	Jan 3rd, 2024 2:14 pm

Add User



Email

Full Name

Cancel

Add User

Add User



Email

portal@sipc.org

Full Name

Christine King



Cancel

Add User

Administration

Current Users

 + Add User

Email	Name	Last Login	
appvance4user4@gmail.com Admin	AppVance Test 4	Jan 3rd, 2024 2:14 pm	
portal@sipc.org	Christine King	Never	Delete

Administration

Current Users

 Add User

Email	Name	Last Login
appvance4user4@gmail.com Admin	AppVance Test 4	Jan 3rd, 2024 2:14 pm



Altenwerth, McClure and Schneider

Support

Portal Users

Email	Name	Last Login
appvance4user4@gmail.com	AppVance Test 4	Jan 2nd, 2024 1:58 pm
kdlssipc@gmail.com	Karen Saperstein (Personal)	Nov 29th, 2023 9:20 am

Update slide once KLS email has been removed



Altenwerth, McClure and Schneider

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Support Center

FAQs

Get answers to your questions from our frequently asked questions.

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Send us a message

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Contact us

 (202) 371-8300

 Securities Investor Protection Corporation
1667 K St. N.W., Suite 1000
Washington, D.C. 20006-1620